



Management Service

AUDIT REPORT

To
Dr. K.m. Kumara gowd
KONGU ARTS AND SCIENCE COLLEGE (AUTONOMOUS)
13 AUG 2020
13/8/20
ERD DE-638 107 *

Organization name	Kongu Arts And Science College (AUTONOMOUS)
Standard(s)	ISO 9001:2015
Order No.	4153305705
Audit start date	2020-08-06
Audit end date	2020-08-07
Audit type	3. Surveillance Audit (Extraord. Event (IAF))
Certification type	Single
Client number	26905-01
Organization's audit representative	Dr. Raman
Certificate No.	99 100 15059
Result	<input checked="" type="checkbox"/> Certificate release recommended <input checked="" type="checkbox"/> Maintenance of certificate recommended <input checked="" type="checkbox"/> Non-Conformities were identified and closed by re-audit on site <input checked="" type="checkbox"/> Non-Conformities were identified and closed by resubmitted documentation <input checked="" type="checkbox"/> Suspension of certificate recommended <input checked="" type="checkbox"/> Withdrawal of certificate recommended <input checked="" type="checkbox"/> Certification process terminated

Enclosed documents: Action list
Audit plan



Audit Team

Function	Name
Lead Auditor	Srinivasan Parameswaran
Auditor	Manikandan Krishnankutty

Changes since last audit:

- No changes since last audit
- Significant change of scope
- Increase/decrease in number of employees
- Management System / documented information
- deviations from the audit plan
- significant issues impacting the audit program
- audit objectives or audit criteria
- Others

Auditor to enter comments below for any changes identified above:

Audit was Conducted through ICT (Remote Audit)
Techniques due to extraordinary events, hence the information provided and verified in this Audit based on the Documented information verified in remote audit,
Refer revised Annex-3 (Audit Program),
More focus on onsite activities in next Audit

Date of next regularly scheduled audit

2021-07-01



Audit conclusion

During the audit it was checked how the documented management system was established, implemented and improved at the different areas of the organization.
The audit covered relevant processes / areas of the organization in order to obtain an overall picture of the degree of management system implementation. Although performed to reasonable depth, not every detail of the complete Management System could be checked.
The processes and their associated areas of the organization were checked in accordance with the pre-agreed audit plan, audit program and process analysis.

Verification of previous audit nonconformities

The audit team evaluated the corrective action taken for the nonconformities/ areas of concern from the previous audit.
In the case of RA / Re-Certification audits, the audit team considered the audit reports for the last two audits in the audit planning / performance of the audits and in particular checked the nonconformities / areas of concerns.
The corrective actions were found to be:

Effectively Implemented?	Yes
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Comments:

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Did the organization use the CB certification mark? (Controlling the use of certification documents, statements and marks)	Used; acceptable
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Comments:

used In letter Pads, Application forms, brochures.
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Audit team conclusion

Is a re-audit needed?

Yes

No

Comments:

Type and number of audit findings

	Major nonconformities	Minor nonconformities	Opportunities for improvement	Positive aspects
Total number	0	1	1	0

Standard elements with findings are listed in the action list (NC Management).

The audit findings are based on the audit evidence collected during the audit and available to the certification body.



Audit summary

Refer to level of maturity of the MS, commitment of top management, guaranteeing the continuous compliance with the legal and other requirements , application of performance indicators, continual improvement, meeting of objectives and targets, competence of personnel, effectiveness of internal audits and management reviews, environmental performance, etc.

Compliance To Legal requirements -

Autonomous approval from University of grant commission. Approval number:22-1/2015/Ac. Dated 29 April 2015. Building approval licence from engineer Dr Ramesh , date of inspection 6.1.2020. building licence valid until 05.01.2023. Tamil Nadu Fire and Rescue services -form of fire services licence number: 661/220 , KDIs.no:5361/C1/2020. Dated 25.06.2020 verified. Testing report for drinking water dated.25.05.2020. Verified. Sanitary certificate from department of Public Health and preventive medicine. KDIs no: 3049/A5/2019. dated .6 Aug 2020. AICTE approval(all India Council for Technical Education). Fno:- Southern /1-7013365083/2020/EOA for MCA - 60 seats intake.Fno; Southern /1-7013387109/2020/EOA for MBA- 60 seats intake.

application of performance indicators: 1. Pass percentage : target : 80% Actual :(june-19 -Apr-20) : 80%
2.Organizing Seminar : Target : 2/ year . Actual :(june-19 -Apr-20) : 2 , 3.Organizing the conference National/International Level : Actual :(June19-Apr20): 3

Meeting of objectives and targets : 1.Opted Placements Target : 40% Actual :(June19-Apr-20): 80.26%
,2.Obtain Admission : Target : 85% Actual :(June19-Apr-20): 89.55%,3.competence of personnel: Skill Matrix of Employees & Job Description Evidenced.
effectiveness of internal audits and management reviews: Internal Audit : Once in 6months , Last IA: 11-05-2020

MRM ; once in 6months ,Last MRM : 12.05.2020

Auditor's name	Srinivasan Paramoswaran	date
Auditor's signature	<hr/>	<hr/> 2020-08-10



Site specific information

Audited Site

Site specific name	Kongu Arts And Science College (AUTONOMOUS)
State	India
City	Erode, TamilNadu
Postal code	638107
Address	KATHIRAMPATTI POST, NANJANAPURAM
Client number	26905-01
Certificate scope	Providing Educational Services Leading to Undergraduate and Postgraduate Courses in Arts, Science, Commerce, Management & Research Programmes
Industry code(s)	EA 37
Products / Services	They provide Educational Services in Undergraduate, Post Graduate Courses in Arts, Science, Commerce, Management & Research programmes.
Total number of personnel onsite	300
Total number of effective personnel	208



ISO 9001

Number of shifts	1	
Temporary sites / projects:	<input checked="" type="radio"/> Not applicable <input type="radio"/> Applicable	
All clauses apply	<input checked="" type="radio"/> Yes <input type="radio"/> No	
Quality relevant manufacturing/realization processes:	Curriculum design, Admission, Teaching, Examination, Placement.	
Risk category (low/medium/high):	Medium	
KPIs:	Name	Trend
	Pass percentage : target : 80% Actual :(june-19 -Apr-20) : 80%	Positive
	Organizing Seminar : Target : 2/ year . Actual :(june-19 -Apr-20) : 2	Positive
	Organizing the conference National/International Level : Actual :(June19-Apr20): 3	Positive
	Opted Placements Target : 40% Actual :(June19-Apr-20): 80.26%	Positive
	Obtain Admission : Target : 85% Actual :(June19-Apr-20): 89.55%	Positive
Relevant compliance obligations / Significant legal requirements with regard to products / services:	<p>Autonomous approval from University of grant commission. Approval number:22-1/2015/Ac. Dated 29 April 2015. Building approval licence from engineer Dr Ramesh , date of inspection 6.1.2020. building licence valid until 05.01.2023.</p> <p>Tamil Nadu Fire and Rescue services -form of fire services licence number: 661/220 , KDis no:5361/C1/2020. Dated 25.06.2020 verified Testing report for drinking water dated.25.05.2020. Verified.</p> <p>Sanitary certificate from department of Public Health and preventive medicine. KDis no: 3049/A5/2019. dated .6 aug 2020.</p> <p>AICTE approval(all India Council for Technical Education). Fno:- Southern /1-7013365083/2020/EOA for MCA - 60 seats intake. Fno; Southern /1- 7013387109/2020/EOA for MBA- 60 seats intake.</p>	



Audit objectives

See attached Audit Plan

Additional remarks

1. Disclaimer statement

Auditing is based on a sampling process of the available information. Any audit recommendations are subject to an independent review prior to a decision concerning the awarding or renewal of certification.

A management system certification audit (initial, surveillance or recertification audit) is not a legal compliance audit (ISO 17021:2015, 9.2.1.2; IAF MD22:2018, Appendix C).

2. Duty of information

The Certification Body shall be notified by the client without delay of all changes that may impact on the management system's capability to continue to fulfill the requirements of the relevant standard now and in the future.

These matters include major changes regarding:

- legal, commercial, organizational status or ownership
- organization and management (e.g. key managerial, decision-making or technical staff)
- change of address and sites
- scope of operations under the certified management system

3. Due dates

The due date (last day of the certification audit) must be considered for the planning of any additional audit. The respective due dates should be coordinated with the lead auditor.

4. Confidentiality

The Certification Body will treat all received documented information related to the certification process as strictly confidential.

Copies to:

- Members of the audit team
- Certification body
- Client